# PROBLEM SET V: EUCLID'S ALGORITHM AND DIVISIBILITY

November 10, 2025

Now, let's study the structure of the integers  $\mathbb{Z}$ . During class we proved the following theorem:

**Theorem.** (Euclidean division). For all integers  $n \in \mathbb{Z}$  and *positive* integers  $d \in \mathbb{Z}^+$ , there exist unique integers q (denoted n div d) and r (denoted  $n \mod d$ ) such that:

$$n = qd + r$$
 with  $0 \le r \le d - 1$ 

Notice that computers can handle integer divisions and modulos very efficiently (and for our purposes, we may even assume they're both O(1)).

Recall also the following two definitions:

- 1. We say that  $d \mid n$ , for  $n, d \in \mathbb{Z}$  with  $d \neq 0$ , whenever there exists a  $k \in \mathbb{Z}$  such that n = kd.
- 2. Then, we define, for  $a, b \in \mathbb{Z}$ ,

$$gcd(a, b) := max\{d \in \mathbb{Z} \mid d \mid a \text{ and } d \mid b\}$$

That is, as the *greatest common divisor* (though I should note: in abstract algebra, there are alternate definitions to this one).

We're aiming now to find an efficient algorithm for computing the greatest common divisor of a pair of numbers – and as it turns out, this algorithm will also be of great theoretical importance.

Given  $a, b \in \mathbb{Z}$ , let's define  $\mathcal{D}(a, b) := \{d \in \mathbb{Z} \mid d \mid a \text{ and } d \mid b\}$ ; that is, their set of common divisors (the gcd is of course just the maximum of this set).

**Lemma (** $\mathcal{D}$  is invariant under substraction). For all  $a,b\in\mathbb{Z}$ ,  $\mathcal{D}(a,b)=\mathcal{D}(a-b,b)=\mathcal{D}(a,b-a)$ .

PROOF. First note that  $\mathcal{D}(a,b) = \mathcal{D}(b,a)$ , so it suffices to prove the first equality. If  $d \mid a$  and  $d \mid b$ , then we have that  $d \mid a - b$  and  $d \mid b$ ; so  $\mathcal{D}(a,b) \subseteq \mathcal{D}(a-b,b)$ . For the other direction, we have that if  $d \mid a - b$  and  $d \mid b$ , then  $d \mid a$  (and  $d \mid b$ ). Therefore,  $\mathcal{D}(a-b,b) \subseteq \mathcal{D}(a,b)$ , the other direction we needed to prove.  $\square$ 

From this lemma we can immediately generalize: for all  $k \in \mathbb{Z}$ ,  $\mathcal{D}(a,b) = \mathcal{D}(a-kb,b)$ . In particular, since in the context of Euclidean division, r=n-qd (where r is the remainder and q the quotient), we have:

**Observation.** If  $n \in \mathbb{Z}$  and  $d \in \mathbb{Z}^+$ , then  $\mathcal{D}(n,d) = \mathcal{D}(n \mod d,d)$ ; in particular,  $n \mod d \leq d-1$ .

Since  $gcd(a, b) = max{\mathcal{D}(a, b)}$ , we have:

**Step.** If  $n \in \mathbb{Z}$  and  $d \in \mathbb{Z}^+$ , then  $gcd(n, d) = gcd(n \mod d, d)$ . In particular,  $n \mod d < d - 1$ .

This shows that when trying to determine  $\gcd(a,b)$ , in one step we can guarantee that the smallest number of the pair will drop by at least one (by choosing  $d=\min\{a,b\}$ ), unless  $\min\{a,b\}=0$ . This monovariant shows us that the following algorithm (which happens to one of the most ancient ever invented) will halt:

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Algorithm (Euclid).

Input: n, m \in \mathbb{Z} such that n, m \geq 0 and \max\{n, m\} > 0.

Output: \gcd(n, m)

1 x \leftarrow \min\{n, m\}, y \leftarrow \max\{n, m\}
2 if x = 0 then
3 | return y
4 else
5 | return \gcd(x, y \mod x)
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In the context of number theory for integers  $n, p, q \in \mathbb{Z}$ , we say that n is a linear combination of p, q if there exist  $\alpha, \beta \in \mathbb{Z}$  such that  $n = \alpha p + \beta q$  (I specify the context because in other branches, such as linear algebra,  $\alpha, \beta$  need not be integers).

The theoretical importance of this algorithm comes from the following interesting invariant:

**Invariant.** When computing gcd(a, b), at every recurse, x and y can both be written as linear combinations of a and b.

PROOF. In the topmost level, both  $\min\{a,b\}$  and  $\max\{a,b\}$  are one of a,b so they can be written as linear combinations of a and b (for example: a=1a+0b). When recursing (line 5), we have (by induction hypothesis) that x is a linear combination; and since y also is, recalling that  $y \mod x = y - qx$  for some integer q,  $y \mod x$  also is.  $\square$ 

Why is this useful? Notice that the only way for the algorithm to halt is to go through line 3, and as we just showed, y will be a linear combination of a and b, therefore obtaining:

**Theorem (Bézout's identity).** For all  $a, b \in \mathbb{Z}^+$ , gcd(a, b) is a linear combination of a and b.

And from this remarkable fact, all number theory will arise.

**Instructions:** No need to solve them fully, just ponder them. We'll probably go over the solutions of a few of them during class. Have fun!

# Problem 1. (Time complexity of Euclid's algorithm, optional)

For now, assume that integer division and modulo operations are O(1). A priori, it seems conceivable that we get unlucky and the minimum of the pair only drops by 1 in each recurse, yielding a time complexity of  $O(\min\{a,b\})$ . But can we get unlucky twice? If  $a \le b$ , find an upper bound on  $b \mod a$  in terms of b. Prove it. What does this tell us about "getting unlucky twice", and what does this tell us about the worst-case time complexity? Can you find a pair a, b that achieves this worst-case?

### Problem 2. (Euclid's lemma)

We say that  $a, b \in \mathbb{Z}^+$  are *coprime* if  $\gcd(a, b) = 1$ . By Bézout's identity, this means that 1 = pa + qb for some integers p, q. Use Bézout's identity to prove that if  $n \mid ab$  and n, a are coprime, then  $n \mid b$ .

#### **Problem 3. (Prime factorizations)**

Recall that a *prime number* is an integer n>1 such that n only has two positive integer divisors: 1 and n. For any positive integer  $n\geq 2$ , the smallest non-zero divisor must be prime (why?). Use this fact to prove that every positive integer  $n\geq 2$  can be written as a product of primes. More formally, that for every integer  $n\geq 2$ , there exists a k-tuple  $(p_1,...,p_k)$  of primes such that  $\prod_{i=1}^k p_i = n$ . We call such a tuple a *prime factorization of* n.

## Problem 4. (Uniqueness of prime factorizations)

Prove that the prime factorization of n is essentially unique: that is, for any two prime factorizations  $(p_1,...,p_k)$  and  $(q_1,...,q_\ell)$  of n, they're permutations of each other. This is not that straightforward. One way would go as follows:

- 1. Suppose that there existed an integer N such that this was not true, and study the properties of the minimal such N.
- 2. Use Euclid's lemma to constrain the properties of N's prime factorizations: in particular, that the set of primes appearing in the factorizations of N must be the same for all.
- 3. How would you conclude that the number of repetitions of each prime must be the same for each factorization?

# **Problem 5. (Infinitude of primes)**

There are many, many ways to prove that there must be infinitely many primes. Euclid's way is to consider any set of primes  $\{p_1,...,p_k\}$ , and consider the number  $p_1...p_k+1$ . What can we say about this number? Later

**Next class:** We'll probably go over p-adic valuations, a number-theoretic analogue to the logarithm with interesting properties. The goal is to prove Bertrand's postulate: that for every integer n>1, there exists a prime in the interval [n,2n]. This is already a step in the right direction to the prime number theorem!